

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

(ii) (a) Name of the company

(b) Registered office address

(c) *e-mail ID of the company

(d) *Telephone number with STD code

(e) Website

(iii) Date of Incorporation

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s) Yes No

(b) CIN of the Registrar and Transfer Agent

Name of the Registrar and Transfer Agent

NSDL DATABASE MANAGEMENT LIMITED

Registered office address of the Registrar and Transfer Agents

4th Floor, Tower 3, One International Center Senapati Bapat
Marg, Prabhadevi, Mumbai - 400 013 Delisle Road

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted

Yes No

(f) Specify the reasons for not holding the same

Annual General Meeting of the Company is scheduled to be held on 30.09.2024

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	0.88
2	K	Financial and insurance Service	K8	Other financial activities	99.12

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	WIZARD HOLDINGS PTE LTD		Subsidiary	100
2	JACQART INVESTMENTS PTE LT		Subsidiary	100
3	WINRO COMMERCIAL (INDIA) I	L51226MH1983PLC165499	Associate	25.95
4	SHRIYAM AGRICULTURE PRIVA	U01403MH2011PTC222369	Associate	39.56

5	TAPWATER PLANTATION PRIVATE LIMITED	U01403MH2011PTC222251	Associate	23.16
6	GEECEE BUSINESS PRIVATE LIMITED	U45400MH2006PTC164679	Associate	29.6
7	GEECEE HOLDINGS LLP		Joint Venture	50

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	14,888,000	11,811,165	11,811,165	11,811,165
Total amount of equity shares (in Rupees)	148,880,000	118,111,650	118,111,650	118,111,650

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	14,888,000	11,811,165	11,811,165	11,811,165
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	148,880,000	118,111,650	118,111,650	118,111,650

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	12,000	0	0	0
Total amount of preference shares (in rupees)	120,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Preference Shares				
Number of preference shares	12,000	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	120,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	2,950,465	8,860,700	11811165	118,111,650	118,111,650	
Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify				0	0	
NA						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				0	0	
NA						
At the end of the year	2,950,465	8,860,700	11811165	118,111,650	118,111,650	
Preference shares						

At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify				0	0	
<input type="text" value="NA"/>						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				0	0	
<input type="text" value="NA"/>						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text"/>
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Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	----------------------	--

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

3,883,321,124

(ii) Net worth of the Company

5,879,900,154

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	304,285	2.58	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	6,737,578	57.04	0	
10.	Others Trust	1,808,057	15.31	0	
	Total	8,849,920	74.93	0	0

Total number of shareholders (promoters)

10

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage

1.	Individual/Hindu Undivided Family				
	(i) Indian	743	0.01	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	2,950,282	24.98	0	
10.	Others IEPF	10,220	0.09	0	
	Total	2,961,245	25.08	0	0

Total number of shareholders (other than promoters)

11

**Total number of shareholders (Promoters+Public/
Other than promoters)**

21

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	10	10
Members (other than promoters)	11	11
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	0	0	0	0	0
B. Non-Promoter	2	2	3	2	0	0
(i) Non-Independent	2	1	0	0	0	0
(ii) Independent	0	1	3	2	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	2	3	2	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

7

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SANDEEP KUMAR KE	00053755	Whole-time director	0	
BHARGAV CHIMANLA	03368074	Whole-time director	0	
SUHAS TUKARAM SA	00055867	Director	0	
VALLABH PRASAD BI	00043358	Director	0	
RUPAL ANAND VORA	07096253	Director	0	
SAMIKSHA PATNI	EHQPP3975N	Company Secretary	0	
SUHAS TUKARAM SA	AJUPS6613E	CFO	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
DIXITA KISHOR PA +	BICPP4613B	Company Secretary	29/09/2023	Cessation
SAMIKSHA PATNI	EHQPP3975N	Company Secretary	12/10/2023	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	28/09/2023	21	9	74.06

B. BOARD MEETINGS

*Number of meetings held

5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	26/05/2023	5	4	80
2	08/08/2023	5	5	100
3	12/10/2023	5	5	100
4	10/11/2023	5	4	80
5	13/02/2024	5	3	60

C. COMMITTEE MEETINGS

Number of meetings held

13

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committ +	26/05/2023	3	3	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
2	Audit Committee	08/08/2023	3	3	100
3	Audit Committee	10/11/2023	3	3	100
4	Audit Committee	13/02/2024	3	2	66.67
5	Nomination and Remuneration Committee	08/08/2023	3	3	100
6	Nomination and Remuneration Committee	12/10/2023	3	3	100
7	Corporate Social Responsibility Committee	26/05/2023	3	3	100
8	Technology Committee	26/05/2023	4	4	100
9	Technology Committee	08/08/2023	4	4	100
10	Technology Committee	10/11/2023	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	SANDEEP KUMAR	5	5	100		5		
2	BHARGAV CHANDRAN	5	3	60		0	0	
3	SUHAS TUKAR	5	4	80		6	85.71	
4	VALLABH PRASAD	5	4	80		6	85.71	
5	RUPAL ANAND	5	5	100		7	100	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Gopika Shah

Whether associate or fellow

Associate Fellow

Certificate of practice number

10416

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...

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dated

29/05/2024

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

00053755

To be digitally signed by

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

List of Shareholder_Signed.pdf Committee Meeting details_Signed.pdf MGT-8.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

FOUR DIMENSIONS SECURITIES (INDIA) LIMITED

Regd. Office : 209 - 210, Arcadia Building, 2nd Floor, 195, Nariman Point, Mumbai - 400 021.
Telephone : 4019 8600 • Fax : 4019 8650 • E-mail : roc@gcvl.in • CIN : U74999MH1985PLC034989

List of Shareholders as on 31.03.2023

Sr. No.	Name of the Share Holder	Folio No.	DP ID - Client ID Account Number	No. of shares held	Class of Shares
1	Saraswati Commercial (India) Ltd	347	IN30154915835415	1889193	Equity Shares
2	Winro Commercial (India) Ltd	349	IN30154915835407	4667235	Equity Shares
3	Meena A. Kothari W/o Ashwin Kumar Kothari	356	IN30154917542867	50	Equity Shares
4	Mr. Rohit A. Kothari S/o Mr. Ashwin Kumar Kothari	382	IN30154917455433	102000	Equity Shares
5	Singularity Holdings Limited	386	IN30115122424345	181150	Equity Shares
6	P.C. Kothari (HUF) (Karta Ashwin Kumar Kothari)	388	1301240001361342	885	Equity Shares
7	Ashwin Pannalal Kothari Jt. Meena Kothari	390	IN30115121344106	6050	Equity Shares
8	A.K. Kothari (S) HUF (Karta - Ashwin Kumar Kothari)	394	1301240001361338	78900	Equity Shares
9	Ashwin Kumar Kothari (HUF)(Karta Ashwin Kumar Kothari)	395 & 353	1301240001361380	116400	Equity Shares
10	Bihariji Securities Pvt. Ltd.	410		300000	Equity Shares
11	Heilen Cross Diagnostics Private Limited	415		300000	Equity Shares
12	Meeraj Multiweb Industries Ltd	416		87500	Equity Shares
13	Harisingh Shyamsukha	417	IN30021424306881	46	Equity Shares
14	Angular Trading Private Limited	418	IN30154915269929	257	Equity Shares
15	Vinod Kandoi	419		640	Equity Shares
16	Chaturvedi & Shah Consulting LLP	420	1203730011060418	257	Equity Shares
17	Pralhald Singh	421		57	Equity Shares
18	Dhanastra Finance and Investment Company Private Limited	422		114	Equity Shares
19	Antique Finance Private Limited	423		2262154	Equity Shares
20	Ashwin Kothari Family Trust	424	IN30021424965084	1808057	Equity Shares
21	Investor Education and Protection Fund Authority Ministry of Corporate Affairs		IN30070810656671	10220	Equity Shares
	TOTAL			11811165	

For Four Dimensions Securities (India) Limited



Sandeep Kumar Kejariwal
Director
DIN: 00053755



FOUR DIMENSIONS SECURITIES (INDIA) LIMITED

Regd. Office : 209 - 210, Arcadia Building, 2nd Floor, 195, Nariman Point, Mumbai - 400 021.
Telephone : 4019 8600 • Fax : 4019 8650 • E-mail : roc@gcvl.in • CIN : U74999MH1985PLC034989

Committee Meeting Details

Sr. No	Type of Meeting	Date of Meeting	Total Number of Members as on the date of Meeting	Attendance	
				Number of members attended	% of Attendance
1.	Audit Committee Meeting	26-05-2023	3	3	100
2.	Audit Committee Meeting	08-08-2023	3	3	100
3.	Audit Committee Meeting	10-11-2023	3	3	100
4.	Audit Committee Meeting	13-02-2024	3	2	67
5.	Nomination and Remuneration Committee Meeting	08-08-2023	3	2	67
6.	Nomination and Remuneration Committee Meeting	12-10-2023	3	3	100
7.	Corporate Social Responsibility Committee Meeting	26-05-2023	3	3	100
8.	Technology Committee	26-05-2023	4	4	100
9.	Technology Committee	08-08-2023	4	4	100
10.	Technology Committee	10-11-2023	4	4	100
11.	Technology Committee	06-12-2023	4	4	100
12.	Technology Committee	13-02-2024	4	4	100
13.	Independent Director Meeting	05-08-2023	2	2	100

For Four Dimensions Securities (India) Limited



Sandeep Kumar Kejariwal
Director
DIN: 00053755



FOUR DIMENSIONS SECURITIES (INDIA) LIMITED

Regd. Office : 209 - 210, Arcadia Building, 2nd Floor, 195, Nariman Point, Mumbai - 400 021.
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Committee Meeting Details

Sr. No	Type of Meeting	Date of Meeting	Total Number of Members as on the date of Meeting	Attendance	
				Number of members attended	% of Attendance
1.	Audit Committee Meeting	26-05-2023	3	3	100
2.	Audit Committee Meeting	08-08-2023	3	3	100
3.	Audit Committee Meeting	10-11-2023	3	3	100
4.	Audit Committee Meeting	13-02-2024	3	2	67
5.	Nomination and Remuneration Committee Meeting	08-08-2023	3	2	67
6.	Nomination and Remuneration Committee Meeting	12-10-2023	3	3	100
7.	Corporate Social Responsibility Committee Meeting	26-05-2023	3	3	100
8.	Technology Committee	26-05-2023	4	4	100
9.	Technology Committee	08-08-2023	4	4	100
10.	Technology Committee	10-11-2023	4	4	100
11.	Technology Committee	06-12-2023	4	4	100
12.	Technology Committee	13-02-2024	4	4	100
13.	Independent Director Meeting	05-08-2023	2	2	100

For Four Dimensions Securities (India) Limited



Sandeep Kumar Kejariwal
Director
DIN: 00053755



Form No. MGT-8

*[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of
Companies
(Management and Administration) Rules, 2014]*

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of **Four Dimensions Securities (India) Limited** (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31st March, 2024. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B. during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

1. its status under the Act;
2. maintenance of registers/records & making entries therein within the time prescribed therefor;

3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time;
4. calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been 92 properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
5. closure of Register of Members / Security holders, as the case may be.
6. advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
7. contracts/arrangements with related parties as specified in section 188 of the Act;
8. issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;

9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;
10. declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. acceptance/ renewal/ repayment of deposits;

16. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in 93 that respect, wherever applicable;
17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act;
18. alteration of the provisions of the Memorandum and/ or Articles of Association of the Company.



Gopika Shah
Partner- GHV & Co. (Practising
Company Secretaries)
Membership no.: F10416
C.P. No.: 11663
UDIN: F010416F000964501

Place: Mumbai
Date: 13.08.2024

GHV & Co.

Practising Company Secretaries
Prudent Professionals. Practical Solutions.

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